

# Microlensing Optical Depth of the COBE Bulge

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## ABSTRACT

We examine the left–right asymmetry in the cleaned COBE/DIRBE near-infrared data of the inner Galaxy and show (i) that the Galactic bar is probably not seen very nearly end-on, and (ii) that even if it is, it is not highly elongated. The assumption of constant mass-to-light ratio is used to derive simulated terminal-velocity plots for the ISM from our model luminosity distributions. By comparing these plots with observed terminal velocities we determine the mass-to-light ratio of the near-IR bulge and disk.

Assuming that all this mass contributes to gravitational microlensing we compute optical depths  $\tau$  for microlensing in Galactic-centre fields. For three models with bar major axis between  $10^\circ - 25^\circ$  from the Sun-Galactic Center line, the resulting optical depths in Baade’s window lie in the range  $0.83 \times 10^{-6} \lesssim \tau \lesssim 0.89 \times 10^{-6}$  for main-sequence stars and  $1.2 \times 10^{-6} \lesssim \tau \lesssim 1.3 \times 10^{-6}$  for red-clump giants. We discuss a number of uncertainties including possible variations of the near-infrared mass-to-light ratio. We conclude that, although the values predicted from analyzing the COBE and gas velocity data are inconsistent at the  $2 - 2.5\sigma$  level with recent observational determinations of  $\tau$ , we believe they should be taken seriously.

**Key words:** Galaxy: centre – Galaxy: structure – Gravitational lensing.

## 1 INTRODUCTION

It is widely recognized that observed optical depths to microlensing along various lines of sight from the Sun constitute important constraints on models of the Milky Way. In his seminal study of microlensing Paczynski (1986) estimated optical depths  $\tau$  for lensing under the assumption that the Galaxy is axisymmetric. He found that in fields towards the Galactic centre  $\tau$  is  $\sim 10^{-6}$ , and numerous studies have since confirmed the correctness of this estimate. When  $\tau$  was actually measured, significantly larger values have been reported –  $\tau = (3.3 \pm 1.2) \times 10^{-6}$  ( $1\sigma$ ) by Udalski et al (1994) and  $\tau = (1.9 \pm 0.4) \times 10^{-6}$  by Alcock et al. (1995)]. It was suggested (Paczynski et al. 1994; Zhao, Spergel & Rich 1995) that the large measured values of  $\tau$  reflect the fact that the Galaxy is barred (see, e.g., reviews in Blitz & Teuben 1996).

In principle a suitably oriented and elongated bar can significantly enhance  $\tau$ . To see this, imagine deforming an initially axisymmetric bulge into a bar that points nearly to the Sun in such a way as to hold constant the velocity dispersion within Baade’s window  $[(l, b) = (1^\circ, -3.9^\circ)]$ . This deformation will increase both the surface density of stars towards Baade’s window and the depth along this line of sight within which the stellar density is high. Since a star offers the largest cross section for lensing a background star when it lies halfway between the background star and us,

both of these factors will increase  $\tau$ . However, in this paper we show that if one assumes that near-infrared luminosity density is a fair tracer of stellar mass density in the inner galaxy, then  $\tau$  is rather precisely constrained to be  $\tau = 10^{-6}$  by a combination of (i) the near and far-infrared surface brightnesses that were measured by the DIRBE experiment aboard the COBE satellite, and (ii) the kinematics of gas in the inner few kiloparsecs as reflected in longitude–velocity plots from radio-frequency emission-line studies of the ISM.

In substantiating our claim we rely heavily on results obtained in three previous papers, namely Binney & Gerhard (1996; Paper I), Spergel, Malhotra & Blitz (1997; Paper II) and Binney, Gerhard & Spergel (1997; Paper III). Paper II cleans the COBE/DIRBE data for the effects of obscuration by dust, while Papers I and III develop and apply a Lucy–Richardson algorithm for recovering models of the Galaxy’s three-dimensional luminosity density from the cleaned near-IR surface photometry.

This paper is organized as follows. In Section 2 we focus on left–right asymmetry within the observed near-IR surface brightness measurements as a key diagnostic of the morphology of the Galactic bar. In particular we explain how the length, axis ratios and orientation of a bar are reflected in its distribution of left–right asymmetry. We then compare these asymmetries both with the asymmetry that is apparent in the COBE data, and the asymmetries that are predicted by our models of the Galaxy. These compar-

isons suggest that the Galactic bar is not seen very nearly end-on, and even if it is, it is not highly elongated.

In Section 3 we assume that our models of the near-IR luminosity density can be converted into mass models by multiplying by an appropriate mass-to-light ratio  $\Upsilon$ . We calibrate  $\Upsilon$  by comparing simulated longitude–velocity plots of the ISM with observed  $(l, v)$  plots. Finally we determine  $\tau$ . In Section 4 we discuss uncertainties and the possible causes for the difference between the optical depth inferred here and that inferred from the microlensing experiments.

## 2 LEFT–RIGHT ASYMMETRY

As Blitz & Spergel (1991) have emphasized, the key to using photometry to detect departures from axisymmetry within the Galaxy is the study of the ratio  $\Delta(l, b)$  that is defined for positive  $l$  by

$$\Delta(l, b) \equiv I(l, b)/I(-l, b), \quad (1)$$

where  $I(l, b)$  is the surface brightness of the Galaxy at longitude  $l$  and latitude  $b$ . Fig. 1 is a contour plot of  $\Delta$  for the  $L$ -band COBE data after the latter has been corrected for dust obscuration as described in Spergel, Malhotra & Blitz (1996). Values of  $\Delta$  are available for pixels that are  $1.5^\circ$  square, but the values contoured in Fig. 1 are those obtained by smoothing the raw data by 5 pixels in  $l$  and 2 pixels in  $b$  with the `SMOFT` routine of Press et al. (1986). We shall call a plot such as Fig. 1 an *asymmetry map*.

To appreciate the significance of Fig. 1 it is instructive to construct analogous figures for simple Galaxy models. Fig. 2 shows several illustrative cases for the model introduced in Paper I. This consists of a bar/bulge and a disk. Its luminosity density is given by

$$j(\mathbf{x}) = j_0 [f_b(\mathbf{x}) + f_d(\mathbf{x})], \quad (2a)$$

where

$$\begin{aligned} f_b &\equiv \frac{B_0}{a_m^3 \eta \zeta} \frac{e^{-a^2/a_m^2}}{(1 + a/a_0)^{1.8}} \\ f_d &\equiv \left( \frac{e^{-|z|/z_0}}{z_0} + \alpha \frac{e^{-|z|/z_1}}{z_1} \right) R_d e^{-R/R_d} \\ a &\equiv \left( x^2 + \frac{y^2}{\eta^2} + \frac{z^2}{\zeta^2} \right)^{1/2} \quad \text{and} \quad R \equiv (x^2 + y^2)^{1/2}. \end{aligned} \quad (2b)$$

There are four important parameters associated with this model bar: the length  $a_m$ , the axis ratios  $\eta$ ,  $\zeta$  and the angle  $\phi_0$  between the Sun–centre line and the long axis of the bar (which is defined such that the near end of the bar lies at  $l > 0$  for  $0 < \phi_0 < 90^\circ$ ). The other parameters may be held constant at the values given in Table 1. We assume throughout that the Sun lies 14 pc below the Galactic plane as was deduced in Paper III.

The top-left panel of Fig. 2 shows the asymmetry map for  $a_m = 1.91$  kpc,  $\eta = 0.5$ ,  $\zeta = 0.3$ ,  $\phi_0 = 25^\circ$ . In contrast to the data map, Fig. 1, all contours are positive, that is, for the given parameters, the model (2) is nowhere more than 0.05 mag brighter at  $l > 0$  than it is at  $l < 0$ . The brightness contrast peaks near  $(l, b) = (8^\circ, \pm 4^\circ)$ . For the middle-left panel of Fig. 2 the vertical axis ratio  $\zeta$  has been doubled to  $\zeta = 0.6$ . This moves the peaks in the asymmetry map out away from  $b = 0$  and increases their intensity. The top-right

**Figure 1.** The asymmetry map of the COBE  $L$ -band data. Contours are spaced by 0.05 magnitudes. Dotted contours indicate that the Galaxy is brighter on the right than on the left.

**Table 1.** Parameters of the models

$a_0$	$R_d$	$\alpha$	$z_0$	$z_1$	$B_0/a_m^3 \eta \zeta$
100 pc	2.5 kpc	0.27	210 pc	42 pc	624

panel in Fig. 2 shows the effect of decreasing the planar axis ratio from 0.5 to  $\eta = 0.3$  whilst holding the vertical axis ratio constant at  $\zeta = 0.3$ . This leaves the peaks at approximately the same location but makes them more intense. The middle-right panel of Fig. 2 shows the effect of doubling the length of the bar from 1.91 kpc to 3.82 kpc whilst holding the axis ratios constant at 0.3. This both moves the peaks towards larger  $l$  and higher  $b$  and makes the surrounding contours significantly more extensive. Comparison of the middle and bottom-left panels of Fig. 2 shows the effect rotating the bar to a more nearly end-on orientation; the bottom-left panel is for  $\phi_0 = 10^\circ$  rather than  $\phi_0 = 25^\circ$ . This leaves the peaks in approximately the same location because even at  $\phi_0 = 25^\circ$  the line of sight through the Galactic centre effectively passes down the length of this fairly fat bar rather than intersecting it transversely. In this regime the magnitude of the peaks decreases with  $\phi_0$  – by symmetry it must vanish at  $\phi_0 = 0$ .

The bottom-right panel of Fig. 2 shows the asymmetry map of a long ( $a_m = 3.82$  kpc) thin ( $\eta = 0.25$ ,  $\zeta = 0.2$ ) bar seen nearly end-on ( $\phi_0 = 10^\circ$ ). Comparison of this asymmetry map with that shown in the middle-right panel of Fig. 2 reveals that the effect of rotating a long thin bar to a more nearly end-on orientation is two-fold: it decreases the magnitude of the peaks as in the case of a short bar, but it now also shifts them to slightly lower longitudes. This is because when a thin bar is oriented at  $\phi_0 = 25^\circ$ , the line of sight through the Galactic centre intersects the bar transversely. Finally, comparison of the two lower-right panels with the corresponding lower-left panels shows that rotation of a bar towards end-on orientation combined with elongation of the bar can leave the peaks in the asymmetry map at approximately the same locations. However this combination of rotation and elongation modifies the shapes of the contours that surround the peaks in a characteristic way: they become more elongated in the longitude direction, and they

**Figure 2.** Asymmetry maps of analytical models. The parameters in eqs (2) of each model are given at the top of its panel. The contours are as in Fig. 1.

**Figure 3.** Asymmetry maps of iterated models. The parameters in eqs (2) of the model from which the iterations started are given at the top of each panel. The contours are as in Fig. 1.

**Figure 4.** Projections parallel to  $z$  of three final models: From left to right: for  $\phi_0 = 25^\circ$  started from a short-fat analytic bar (middle left panel of Fig. 2), and started from a longer bar of similar cross-section (middle-right panel of Fig. 2); for  $\phi_0 = 10^\circ$  started from a long thin bar (lower right panel of Fig. 2).

turn upward towards high latitudes at  $l \gtrsim 20^\circ$ . This effect arises because the far end of a long near end-on bar appears small because it is far off, so away from the plane and at large longitudes there is no counterpoint to the brightness contributed by the apparently large near end of the bar. Conversely, at small  $l$  and  $b$  light from the far end of the bar provides an effective counterpoint to light from the near end of the bar, and the asymmetry is small.

Fig. 3 shows the asymmetry maps of the models that are generated by the algorithm of Papers I and III from the COBE  $L$ -band data when the Lucy–Richardson iterations are started from the analytic models that generate the asymmetry maps of the corresponding panels in Fig. 2. Several points are noteworthy in this figure:

(i) Especially in the  $\phi_0 = 25^\circ$  case, the asymmetry map of the model generated by the algorithm is remarkably independent of the initial analytic model, even though the length and axis ratios of the latter vary by factors of two.

(ii) The  $\phi_0 = 25^\circ$  final model provides a remarkably good fit to the global morphology of the COBE asymmetry map of Fig. 1. In particular, in the middle-right panel of Fig. 3 the two long ridges of maximal asymmetry around  $(l, b) = (10^\circ, \pm 6^\circ)$  strongly resemble ridges in Fig. 1 that have similar locations and orientations. Moreover, the height of these ridges is nearly the same in the two figures, as is the general shape of the low-lying contours near  $l = 20^\circ$ . Finer details of the observed asymmetry map shown in Fig. 1 are not reproduced in Fig. 3. These include the sharp local maximum at  $(l, b) = (16^\circ, -1^\circ)$  and the strong north-south asymmetry. The former is almost certainly associated with a feature in the disk and does not concern us here. The north-south asymmetry in the observed asymmetry map could be a sign that the bar is slightly inclined with respect to the plane, as radio-frequency emission lines from the ISM suggest (e.g., Liszt & Burton 1996).

(iii) The asymmetry maps that are shown in the bottom panels of Fig. 3 for the case  $\phi_0 = 10^\circ$  both deviate from the observed asymmetry map of Fig. 1 in having contours at  $(l, b) \simeq (25^\circ, \pm 7^\circ)$  which slope towards higher  $l$  and  $|b|$  rather than towards lower  $l$  at higher  $|b|$ . Thus whereas in the case of  $\phi_0 = 25^\circ$  the Lucy–Richardson iterations are able to eliminate the extensions towards high  $l$  and  $|b|$  that are apparent in the middle-right panel of Fig. 2, the iterations cannot eliminate this unsatisfactory feature of the initial models in the case  $\phi_0 = 10^\circ$ . When a bar is viewed nearly end-on it is inevitable that the sky is brighter up and to the left than it is at the corresponding point on the right.

### 3 THREE-DIMENSIONAL STRUCTURES AND MASS DENSITIES

Fig. 4 shows projections parallel to  $z$  of the bars that were generated by six Lucy–Richardson iterations on the COBE  $L$ -band data from the analytic bars whose asymmetry maps are shown in the middle-left, middle-right and bottom-right panels of Fig. 2. These bars are very similar to one another. In particular, the  $25^\circ$ -model that started with a long bar finishes (middle panel) with a bar of exactly the same length as the  $25^\circ$ -model that started with a bar of half the length (left-hand panel). Comparing the middle two panels of Figs 2 and 3, it is clear that the Lucy–Richardson iterations have dealt with the problem posed by the extension towards large  $l$  and  $|b|$  of the peaks in the middle-right panel of Fig. 2 by shortening the bar. The  $10^\circ$ -model shown in the right-hand panel of Fig. 4 has a slightly *shorter* bar than do the  $25^\circ$ -models shown in the middle and left-hand panels. Thus again the Lucy–Richardson iterations have shortened the bar, but the bottom-right panel of Fig. 3 shows that now shortening is not accompanied by elimination of the unwanted extensions of the asymmetry peaks towards high  $l$  and  $|b|$ . On the other hand, shortening the bar has made it possible to broaden it, and altogether this has increased the amplitudes of the peaks (cf. Fig. 2), as the observations demand. These experiments demonstrate that the gross structure of the models recovered by Lucy–Richardson iteration can be understood in simple general terms. Hence we may confidently assert that the Galactic surface-brightness distribution is such that the length of the bar that is required to fit the COBE data for given  $\phi_0$  is nearly independent of  $\phi_0$  for  $\phi \lesssim 30^\circ$ . We show below that the same is true of the optical depth to microlensing.

Before an optical depth can be determined, it is necessary to associate a mass-to-light ratio  $\Upsilon$  with a model. This we do as follows. We first construct a luminosity model for the entire Galaxy out to the solar radius, by combining the luminosity distribution recovered by the Richardson–Lucy algorithm inside our  $5 \text{ kpc} \times 5 \text{ kpc} \times 1.4 \text{ kpc}$  computational box with the initial model of Paper III in the region outside the box. Then we reconstruct the cusp in the centre, which is unresolved in the DIRBE data, by fitting a power law to the multipole expansion of the luminosity distribution in the range  $350 - 500 \text{ pc}$ . We next evaluate the combined model’s potential under the assumption of constant  $\Upsilon$ . Then we use an SPH code to simulate the flow of gas inside  $\sim 8 \text{ kpc}$  [see Englmaier & Gerhard (1997) for details], including a higher resolution simulation for the central  $\sim 4 \text{ kpc}$ . In this simula-

tion, the assumed pattern speed of the bar is  $60 \text{ km s}^{-1} / \text{kpc}$ , placing corotation at 3.1 kpc. The gas flow velocities in the simulation are transformed into a putative local standard of rest (LSR) frame at the position of the Sun at  $R_0 = 8 \text{ kpc}$ . For this transformation we assume that the LSR has a tangential velocity  $v_0$ , but no component of motion in the direction of the Galactic center. For each line-of-sight we evaluate the maximum velocity that would be seen in radio-frequency emission-line measurements.

We compare the resulting model terminal-velocity curve with the observed HI and CO terminal velocities (corrected for line width, but not for peculiar LSR motion; Burton & Liszt 1993, Clemens 1985). Specifically, for  $v_0 = 180, 190, 200, 210, 220 \text{ km s}^{-1}$  we choose the value of  $\Upsilon$  that gives the best eye-ball fit to the observed velocities in the region between  $l = 17 - 48^\circ$ . Finally we choose an optimal  $(v_0, \Upsilon)$  combination.

The thin full curve in Fig. 5 shows the fit between model and data for a model with  $\phi_0 = 20^\circ$  under the assumption  $v_0 = 200 \text{ km s}^{-1}$  – the fit to the data at  $(17^\circ < l < 48^\circ)$  is consistent with the scatter in the data points ( $\sim 5\%$ ). In the range  $(9^\circ \lesssim l \lesssim 17^\circ)$  the model curve falls below the data by  $\lesssim 20 \text{ km s}^{-1}$ . This may reflect that fact that the photometric inversions show a strongly elliptic disk at  $(1.5 \text{ kpc} \lesssim r \lesssim 3.5 \text{ kpc})$ , and, as discussed in Paper III, this could be caused by the presence of supergiants in star-forming regions along prominent spiral arms. If so, our assumption of constant  $\Upsilon$  may not be correct in this region and the gravitational forces in our models may be insufficiently accurate at radii corresponding to  $(9^\circ \lesssim l \lesssim 17^\circ)$ . At  $l \sim 3^\circ$  the thin, full model curve in Fig. 5 falls below the sharply peaked data. This may in part be due to the model’s pattern speed being not quite correct, but in large measure it undoubtedly reflects the limited spatial resolution of our models: the thick, full curve in Fig. 5 shows the predictions for exactly the same model as the thin, full curve, but calculated from a higher-resolution SPH simulation. It can be seen that the thick curve halves the shortfall of the thin curve with respect to the data. However, this gas flow is not stationary, and as more gas falls towards the Galactic center and the vicinity of the cusped orbit is depopulated, the maximum velocities seen in the bulge region decrease again.

The dotted curve in Fig. 5 shows the predictions of the model with  $\phi_0 = 10^\circ$ . Again  $v_0 = 200 \text{ km s}^{-1}$  has been assumed. The match to the data for this model is reasonable but somewhat inferior to that obtained with the corresponding  $\phi_0 = 20^\circ$  model (thin, full curve). Specifically, for  $\phi_0 = 10^\circ$  there are systematic deviations  $\sim 10 \text{ km s}^{-1}$  from the observed velocities. About half the difference between the terminal velocity curves of the  $10^\circ$  and  $20^\circ$  models is due to the difference in viewing angles; the remaining discrepancy is due to intrinsic differences in the model gas flows.

The quality of the fit between the thick, full curve and the data in Fig. 5 indicates that to first order we may assume that the mass-to-light ratios of the bulge and disk are equal. With this assumption we find

$$\rho(\mathbf{x}) = \Upsilon_L j_L(\mathbf{x}), \quad (3a)$$

where  $j_L(\mathbf{x})$  is in COBE/DIRBE luminosity units per  $\text{kpc}^3$

**Figure 5.** Comparison of observed and model terminal velocities. The diamonds show the terminal velocities and their error bars from the CO data of Clemens (1985). The squares show unpublished HI terminal velocity measurements of Burton. The stars are HI terminal velocities read from the figures in Burton & Liszt (1993). The curves show the predictions from three SPH gas flow models after subtracting the component of solar tangential motion,  $v_0 \sin l$ , with  $v_0 = 200 \text{ km s}^{-1}$ . Thin full curve: gas flow in the mass distribution obtained by inverting the COBE surface brightness map with  $\phi_0 = 20^\circ$ , at intermediate numerical resolution. Thick full curve: the same model in a higher resolution simulation. Dotted curve: gas flow in model inverted with  $\phi_0 = 10^\circ$ . The Sun’s galactocentric radius has been taken to be  $R_0 = 8 \text{ kpc}$ . The models have been observed at time  $t = 0.12 \text{ Gyr}$  and have been scaled to fit by eye the observed data between  $l = 17 - 48^\circ$ .

as in Paper III and

$$\begin{aligned} \Upsilon_L(\phi_0 = 20^\circ) &= 3.47 \times 10^8 \text{ M}_\odot / \text{COBE unit}, \\ \Upsilon_L(\phi_0 = 10^\circ) &= 3.37 \times 10^8 \text{ M}_\odot / \text{COBE unit}. \end{aligned} \quad (3b)$$

Thus the derived mass-to-light ratio  $\Upsilon_L$  is almost independent of  $\phi_0$ .

The uncertainties in the normalization of  $\rho(\mathbf{x})$  are as follows: Changing the tangential velocity of the LSR to  $180 \text{ km s}^{-1}$  and  $220 \text{ km s}^{-1}$  changes  $\Upsilon_L$  by  $-10\%$  and  $+10\%$ , respectively. From the above discussion of the velocity peak in Fig. 5, we conclude that the near-IR mass-to-light ratio of the bulge can differ from that of the disk by at most  $\lesssim 20\%$ . Other uncertainties such as that caused by the unknown projection angle  $\phi_0$  are smaller.

In Table 2 we give approximate bulge masses interior to cylindrical radius  $R = 2.4 \text{ kpc}$  for the three COBE models of Fig. 4. These were estimated from the complete density field  $\rho(\mathbf{x})$  in the following way. We associated with the disk all mass that lies within 0.1 radian of the plane (as seen from the Galactic centre). For each bounding radius  $R$  we compared the total mass  $M_{\text{tot}}(R)$  inside  $R$  with the mass  $M_b(R)$  inside  $R$  that is, by the above definition, non-disk mass. We found that  $M_b$  saturates for  $R$  between 2 – 3 kpc. For  $R \lesssim 2.5 \text{ kpc}$  the difference  $M_{\text{tot}} - M_b$  is approximately equal to the mass of the initial analytic disk model of Paper III that lies within  $R$ , while further out there is significant disk mass that is not accounted for by the initial analytic model. From these results we infer that a reasonable estimate of the bulge’s mass is given by the difference between  $M_{\text{tot}}(2.4 \text{ kpc}) = 1.9 \times 10^{10} \text{ M}_\odot$  and the mass of the initial

disk that lies at  $R \leq 2.4$  kpc. This is the quantity that is given for each model in Table 2.

#### 4 MICROLENSING OPTICAL DEPTHS

The microlensing optical depth  $\tau(D_s)$  for a source at distance  $D_s$  is the probability for it to fall within one Einstein radius of any intervening star:

$$\begin{aligned} \tau(D_s) &= \int_0^{D_s} \frac{4\pi G \rho(D_d)}{c^2} \frac{D_d D_{ds}}{D_s} dD_d \\ &= \frac{4\pi G}{c^2} D_s^2 \int_0^1 \rho(x) x(1-x) dx, \end{aligned} \quad (4)$$

where  $\rho(D_d)$  is the mass density of lenses at distance  $D_d$ ,  $x = D_d/D_s$ , and  $D_s = D_d + D_{ds}$ .  $\tau$  depends only on the mass density of lenses, and is independent of the lensing mass spectrum. The measured optical depth in the direction  $(l, b)$  is the function  $\tau(D_s)$  averaged over all observable sources that are brighter than some apparent magnitude  $m$  in a cone of small  $\delta l, \delta b$  around  $(l, b)$ . Following Kiraga & Paczynski (1994) it is customary to parameterize the distribution in  $D_s$  of these sources as

$$\frac{dN(D_s)}{dD_s} = \text{constant} \times \rho(D_s) D_s^{2+2\beta}. \quad (5)$$

This assumes that the luminosity function of sources is constant along the line-of-sight and that the fraction of stars brighter than luminosity  $L$  is proportional to  $L^\beta$ ; the factor  $D_s^2$  accounts for the increase of volume with distance. Thus

$$\langle \tau \rangle = \frac{\int_0^\infty \tau(D_s) \rho(D_s) D_s^{2+2\beta} dD_s}{\int_0^\infty \rho(D_s) D_s^{2+2\beta} dD_s}. \quad (6)$$

Table 2 lists optical depths  $\tau_{-6} \equiv \langle \tau \rangle / 10^{-6}$  in Baade's window  $[(l, b) = (1^\circ, -3.9^\circ)]$ , for the three luminosity models that are shown in Figs. 2–4. In all cases the integrals were evaluated for the density  $\rho(D_s) = \Upsilon_L j_L(D_s)$  with the scaling of equations (3). Two values of  $\beta$  were considered:  $\beta = 0$ , appropriate for stars that can be detected at any distance along the line-of-sight, such as the clump giant stars, and  $\beta = -1$ , which is more appropriate for main-sequence stars (Kiraga & Paczynski 1994, Zhao, Rich & Spergel 1996, Zhao & Mao 1996). Figure 6 shows optical depths along the minor axis  $l = 0$ . The differences between models are everywhere small and can be quantified in first order by assigning a multiplicative constant to each model. The difference between the  $\beta = 0$  and  $\beta = -1$  cases is of order 35% in Baade's window. Figure 7 shows an optical depth map for one of the models. Maps for other models have similar structure.

In comparing these results with observations note that the self-lensing contribution of the Galactic disk is automatically taken into account. If we restrict the source stars to be bulge stars, the optical depth increases by of order 25%. For a bulge star at 8 kpc distance in Baade's window, foreground lenses with galactocentric distances 0–2 kpc, 2–3 kpc, 3–4 kpc, 4–5 kpc and 5–8 kpc contribute  $\tau_{-6} = 0.42, 0.19, 0.15, 0.12, 0.21$  to the total optical depth  $\tau_{-6} = 1.1$ .

The main results from Table 2 and Figs. 6–7 are the following:

(i) The predicted values of  $\tau$  are almost independent of the orientation  $\phi_0$  of the bar and of the initial model from

**Table 2.** Microlensing optical depths in Baade's window for the three COBE models shown in Fig. 6, in units of  $10^{-6}$ .

Model	$\phi_0$	$a_m$	$M_{b,2.4}$	$\tau_{-6}^{\beta=0}$	$\tau_{-6}^{\beta=-1}$
1	$25^\circ$	1.91 kpc	$8.6 \times 10^9$	1.2	0.86
2	$25^\circ$	3.82 kpc	$8.1 \times 10^9$	1.2	0.83
3	$10^\circ$	3.82 kpc	$7.2 \times 10^9$	1.3	0.86

**Figure 6.** Microlensing optical depth for several models along the minor axis ( $l = 0$ ). The short-dashed lines show optical depth as a function of latitude for the  $\phi_0 = 25^\circ$ -bulge-disk model (top-left panel of Fig. 3). The long-dashed lines show optical depths for the long  $\phi_0 = 25^\circ$ -model (middle-right panel of Fig. 3 and middle panel of Fig. 4). The solid lines show optical depths for the  $\phi_0 = 10^\circ$ -model (bottom-right panel of Fig. 3 and right panel of Fig. 4). For all models, the upper curve is for  $\beta = 0$  and the lower curve is for  $\beta = -1$ .

**Figure 7.** Microlensing optical depth map for the model shown in the middle-right panel of Fig. 3 and middle panel of Fig. 4. The thick contour has  $\tau_{-6} = 1$ ; the spacing between contours corresponds to a factor of 1.5.

which the iterations were started.

(ii) For  $\beta = -1$ , the predicted optical depth in Baade's window is  $\tau_{-6} = 0.8 - 0.9$ ; for comparison, the inferred OGLE and MACHO values for main-sequence stars are  $\tau_{-6} = 3.3 \pm 2.4$  ( $2\sigma$ ) from 9 events (Udalski et al. 1994), and  $\tau_{-6} = 1.9 \pm 0.8$  ( $2\sigma$ ) from 41 events (Alcock et al. 1995) when the correction for the disk's contribution is removed. The

predicted optical depth at the mean  $(l, b) = (2.7^\circ, -4.08^\circ)$  of the MACHO fields is  $\simeq 15\%$  lower than in Baade’s window. Both quoted measurements are consistent with our prediction only at the  $2 - 2.5\sigma$  level.

(iii) For 13 clump giants Alcock et al. (1995) find  $\tau_{-6} = 3.9^{+3.6}_{-2.4} (2\sigma)$ . These values are averages over  $\sim 12$  square degrees centered at  $(l, b) = (2.55^\circ, -3.64^\circ)$ . Our predicted optical depth at this position is  $\simeq 7\%$  higher than the result for Baade’s window. Thus again our estimate is consistent with the Alcock et al. result only at the  $2\sigma$  level.

A more accurate comparison with the observations would involve averaging the optical depth in Fig. 7 over the various observed fields, taking into account the number of stars monitored in each field, the total time monitored per field, etc.

## 5 DISCUSSION AND CONCLUSIONS

We have shown that models of the Galactic bulge/bar and inner disk which (i) agree with the COBE/DIRBE  $L$ -band photometric data, and (ii) have a constant  $L$ -band mass-to-light ratio  $\Upsilon_L$ , fail to reproduce the reported microlensing optical depths to Baade’s window by a factor of  $2 - 3$  – the predicted optical depths lie  $2 - 2.5\sigma$  below the measured values. In this Section, we summarize the steps that lead to this conclusion and discuss possible ways out of this discrepancy.

### 5.1 The Galactic bar is short, flat, not very elongated, and not very close to end-on

The asymmetry maps of simple analytical bars are dominated by twin peaks. The location of these peaks varies with the orientation, elongation and axis ratios of the bar in a way that is readily understood. In particular, fatter bars have wider peaks, and lengthening a bar moves its peaks towards higher  $l$  and  $|b|$ . A near end-on bar can have its peaks at similar locations to those of the Galaxy, but then its peaks are elongated differently from the Galaxy’s.

Lucy–Richardson iterations that start from one of these simple bars considerably improve the fit of the model’s asymmetry map to that of the Galaxy by deforming the bar into an approximately standard shape. As was discussed in Paper III, this has axis ratios  $1:0.6:0.4$ . The length of the three-dimensional part of this bar/bulge is around 2 kpc. The part of the bar that extends to  $\simeq 3 - 3.5$  kpc is strongly flattened to the plane.

If the Sun–centre line is inclined at  $\phi_0 \simeq 25^\circ$  to the long axis of the bar, this standard bar provides a good fit to the asymmetry map of the COBE data. But if the bar is oriented much more nearly end-on, it provides an unacceptable fit to the observed asymmetry map, mainly because the model peaks have the wrong shape.

Moreover, independently of the seriousness with which one views the failure of near end-on models to fit the observed asymmetry map, there can be little doubt as to the *shape* of the near-IR luminosity density in the inner Galaxy, because this depends so insensitively on  $\phi_0$ .

### 5.2 Bulge mass and microlensing optical depth for constant $\Upsilon_L$

If we assume that the mass-to-light ratio  $\Upsilon_L$  is some fixed number, we can determine this number by comparing simulated terminal-velocity plots with observed ones. We feel

some confidence in this calibration because it yields an excellent fit to the Galactic terminal velocity curve (Fig. 5) and because the simulated  $(l, v)$  plots are similar to the observed  $(l, v)$  diagrams in that they show features such as the 3 kpc-arm (Englmaier & Gerhard 1997).

Given the assumption of constant mass-to-light ratio  $\Upsilon_L$ , the mass distribution of the Galactic bar and inner disk is rather precisely constrained, and with it the optical depth to microlensing  $\tau$ . Nearly independent of the bar angle  $\phi_0$ ,  $\tau_{-6} \equiv \tau/10^{-6} = 0.8 - 0.9$  for main sequence sources and  $\tau_{-6} = 1.2 - 1.3$  for clump giants, where the range of values given reflects the uncertainty due to differences between various allowed bar models. For a bulge star at 8 kpc distance in Baade’s window, foreground ‘bulge’ lenses with galactocentric distances  $0 - 3$  kpc contribute  $\simeq 55\%$  to the total optical depth. These numerical values are for a tangential velocity of the LSR of  $v_0 = 200 \text{ km s}^{-1}$ ; if  $v_0 = 220 \text{ km s}^{-1}$ , the terminal velocity curve is not fit as well, but for this case the inferred mass-to-light ratio and optical depths are 10% larger.

These results are inconsistent with the measured optical depths at the  $2\sigma$  level, and are in conflict with the optical depths predicted by some earlier photometric bar models – for Baade’s window Zhao, Rich & Spergel (1996) predict  $\tau_{-6} = 1.1$  for the bar only, while Zhao & Mao (1996) predict optical depths up to  $\tau_{-6} = 3$ .

There appear to be two reasons why our predictions lie below those of other photometric models:

(i) As discussed above, our model luminosity distribution differs from those used by other authors in three important respects: it is more centrally concentrated, more strongly flattened towards the plane and less elongated. These differences reflect the facts (i) that as a non-parametric model it fits that data better than do, for example, the widely used Dwek et al (1995) parametric models, and (ii) it is based on a much more sophisticated model of dust absorption than the foreground-screen model of Arendt et al (1994). The central concentration, the large flattening and the moderate elongation of our model all conspire to diminish, for a given bulge mass, the predicted value of  $\tau$  in Baade’s window because this field lies  $4^\circ$  from the plane.

(ii) We determine the mass-to-light ratio  $\Upsilon_L$  from gas velocities rather than from stellar kinematics. In our view gas velocities provide the securer normalization because it is easier to model the relevant gas dynamics than the required stellar dynamics. In particular, it is at present clear neither what the line-of-sight distribution of any of the observed stellar samples is, nor how anisotropic the velocity ellipsoids of these stars are at various points along the line of sight (e.g. Sadler, Rich & Terndrup 1996). By contrast, the gas streamlines beyond  $R \gtrsim 3$  kpc deviate from circular orbits by only about 5%.

These differences in the way that we have constructed our bulge model lead to our bulge mass being relatively small. Integrating over the total NIR luminosity distribution, multiplying by the normalization of eqs. (3), and subtracting the mass of the *initial* disk models used for the iterations in Paper III yields a bulge mass of  $M_b \simeq 7.2 - 8.6 \times 10^9 M_\odot$  inside 2.4 kpc. By contrast, Zhao, Rich & Spergel (1996) derive  $M_b \simeq 2 \times 10^{10} M_\odot$ , while Zhao & Mao (1996) assume  $1.8 \times 10^{10} \leq M_b/M_\odot \leq 2.8 \times 10^{10}$ . These masses are more in accord with the total bulge plus disk mass in

our model, which is  $M_{\text{tot}}(< 2.4 \text{ kpc}) = 1.9 \times 10^{10} M_{\odot}$ .

### 5.3 How to resolve the discrepancy?

We believe that our models of the inner Galaxy are the most carefully constructed models available, and that the lensing optical depths that they predict should be treated with respect. Their ability to give a good qualitative account of observed  $(l, v)$  diagrams (Englmaier & Gerhard 1997) gives one considerable confidence in their fundamental correctness. We trust that this confidence will soon be tested by an examination of their ability to account equally well for the data on stellar velocity dispersions in the inner Galaxy – complete dynamical models are in an advanced state of preparation. But even now it is puzzling that there is such significant conflict between the optical depth obtained from the microlensing experiments and that obtained from our modelling of the COBE and HI terminal velocity data. Several possible causes of this discrepancy stand out.

The first is that the corrections of Spergel et al (1996) for dust absorption are incorrect. As we have emphasized, the non-axisymmetric shape of the central luminosity distribution depends upon asymmetries of  $\lesssim 0.4$  magnitudes in the adopted dust-free brightness distribution. Consequently, small errors in the absorption corrections that have been applied to the COBE data could have significant effects on the final model, especially in the vicinity of the Galactic plane. However, against this possibility one must count the rigorous checks which Spergel et al showed their corrections could pass. The remaining scatter in the dereddened  $K - L$  colours is only 0.076 mag, which they take as a reasonable estimate of the uncertainty in  $L$ . Any errors in the absorption corrections are likely to be significantly smaller in Baade’s window than in the Galactic disk at lower latitudes. Notice also that any clumpiness of the dust distribution is automatically taken into account in the scaling to the NIR reddening. Finally, the experiment reported below shows that if the mass in the Galactic plane has been overestimated, the indirect effects on the optical depth cannot be very large.

Another possible source of error might be the assumption of eight-fold (triaxial) symmetry assumed in the deprojection of the bulge. If the main part of the bulge were orientated more end-on than the part that causes the most prominent features in the difference maps, the optical depths obtained from our models might underestimate the real optical depths. There is a limit to the amount by which we can stretch bulge light along the line-of-sight, however: the bulge/bar proper must lie within its corotation radius, which from the gas dynamics we know to be  $\sim 3 \text{ kpc}$ . Therefore, we can obtain an upper limit on the magnitude of the effect of rearranging part of the bulge in the following way. We redistribute the surface density in Baade’s window that arises from the density at line-of-sight distances in the range  $[-3 \text{ kpc}, 3 \text{ kpc}]$  from the center (75% of the total), homogeneously in that distance range. This increases the number of sources at the far end and the number of lenses at the near end of the bar, so that the total optical depth increases by 20% (for Model 2). This is a substantial overestimate of the effect of redistributing some of the bulge light, because *any* bulge, whatever its orientation, will be inhomogeneous. Thus the error introduced by our assumption of eight-fold

symmetry must be below 10%.

The third possibility is that searches for microlensing events are more efficient than their practitioners estimate. It is obviously hard for us to comment on this possibility. Blending effects have been suggested as a possible cause of overestimating the detection efficiencies (Alard 1996) at perhaps a  $\sim 30\%$  level for main sequence stars. However, these effects are expected to be less important for clump giants.

The final possibility is that the  $L$ -band mass-to-light ratio varies significantly with position in the inner Galaxy. Specifically, if  $\Upsilon_L$  were higher above the plane than in the plane, the map of optical depth shown in Fig. 7 would have too steep a gradient away from the plane. This might be the case if the  $L$ -band light distribution had a significant contribution from young supergiant stars unrelated to the bulk of the old stellar population, or if there were significant contribution to the  $L$ -band light from PAH  $3.3 \mu\text{m}$  or dust emission, or if the distribution of stellar mass were more concentrated to the plane than the distribution of gravitating mass.

We have tested this by considering mass models in which  $\Upsilon_L$  varies as  $\Upsilon_L = \Upsilon_{L0}[1 + \tanh(|z|/150 \text{ pc})]$ . This doubles the mass-to-light ratio at large  $|z|$  with respect to the value  $\Upsilon_{L0}$  in the plane. On recomputing the potential and choosing  $\Upsilon_{L0}$  so as to keep constant the circular speed at 3 kpc, we find that  $\Upsilon_{L0}$  is 33% lower than the value of  $\Upsilon_L$  that is given in eq. (3a). Thus the constraints from the terminal-velocity curve ensure that the mass of the upper bulge increases only by a factor of 1.34 when we assume that  $\Upsilon$  is there twice as great as in the plane. The resulting values for the optical depth in Baade’s window,  $\tau_{-6} = 1.08$  for  $\beta = -1$  main sequence stars and  $\tau_{-6} = 1.5$  for  $\beta = 0$  clump giants, still lie well below the measured values.

Similarly, even models that include ‘dark disks’ are unlikely to give much higher optical depths to Baade’s window than those calculated here, for the following reason. The good fit of our model terminal velocity curve to the observed terminal velocities in Fig. 5 argues that the *radial* distribution of mass in the model is approximately correct. Thus the radial mass distribution of any additional component has to be similar to that of the NIR light, and our derived mass-to-light ratio automatically includes its contribution. The main remaining freedom is to shift mass out of the Galactic plane while keeping the integrated surface density constant. This would be most effective about half-way between the Sun and the Galactic centre, where the NIR disk has a vertical exponential scale-length of  $h_z \sim 150 \text{ pc}$  (Paper III, Fig. 9). The line-of-sight to Baade’s window passes about  $\sim 300 \text{ pc}$  above the plane at galactocentric radius 4 kpc. If the vertical distribution of mass is also exponential, but with a longer scale-length and correspondingly reduced mass density in the plane, then the density at height 300 pc can be increased by up to a factor 1.36. This optimal value occurs for  $h_z = 300 \text{ pc}$ . Since thickening the disk reduces the contribution from lenses near the Sun and has little effect in the bulge, we conclude that by thickening the mass distribution one cannot increase the optical depth to Baade’s window by more than  $\sim 20\%$ .

## 5.4 Conclusion

Constant mass-to-light ratio models of the inner Galaxy, which are consistent with the COBE/DIRBE near-infrared photometry and the HI and CO terminal velocity curve, result in low optical depths for bulge microlensing, almost independent of the orientation of the bar:  $\tau_{-6} \equiv \tau/10^{-6} \simeq 0.9$  for main sequence sources, and  $\tau_{-6} \simeq 1.3$  for clump giants. These values are inconsistent at the  $2 - 2.5\sigma$  level with the optical depths inferred from microlensing experiments. We have discussed several possible uncertainties, including possible variations of the near-infrared mass-to-light ratio, but none of them appears to be large enough to explain the discrepancy.

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